

-NoValue-

art.1 Derivações in Natural Deduction

inc:art:pnd:sec In order to arithmetize **derivações**, we must represent **derivações** as numbers. explanation
 Since **derivações** are trees of **fórmulas** where each inference carries one or two labels, a recursive representation is the most obvious approach: we represent a **derivação** as a tuple, the components of which are the number of immediate sub-**derivações** leading to the premises of the last inference, the representations of these sub-**derivações**, and the end-**fórmula**, the discharge label of the last inference, and a number indicating the type of the last inference.

Definição art.1. If δ is a **derivação** in natural deduction, then $\# \delta^\#$ is defined inductively as follows:

1. If δ consists only of the assumption φ , then $\# \delta^\#$ is $\langle 0, \# \varphi^\#, n \rangle$. The number n is 0 if it is an **não descarregada** assumption, and the numerical label otherwise.
2. If δ ends in an inference with zero, one, two, or three premises, then $\# \delta^\#$ is

$$\begin{aligned} &\langle 0, \# \varphi^\#, n, k \rangle, \\ &\langle 1, \# \delta_1^\#, \# \varphi^\#, n, k \rangle, \\ &\langle 2, \# \delta_1^\#, \# \delta_2^\#, \# \varphi^\#, n, k \rangle, \text{ or} \\ &\langle 3, \# \delta_1^\#, \# \delta_2^\#, \# \delta_3^\#, \# \varphi^\#, n, k \rangle, \end{aligned}$$

respectively. Here $\delta_1, \delta_2, \delta_3$ are the sub-**derivações** ending in the premise(s) of the last inference in δ , φ is the conclusion of the last inference in δ , n is the discharge label of the last inference (0 if the inference does not discharge any assumptions), and k is given by the following table according to which rule was used in the last inference.

Rule:	$\wedge$ Intro	$\wedge$ Elim	$\vee$ Intro	$\vee$ Elim
k :	1	2	3	4
Rule:	$\rightarrow$ Intro	$\rightarrow$ Elim	$\neg$ Intro	$\neg$ Elim
k :	5	6	7	8
Rule:	$\perp_I$	$\perp_C$	$\forall$ Intro	$\forall$ Elim
k :	9	10	11	12
Rule:	$\exists$ Intro	$\exists$ Elim	$=$ Intro	$=$ Elim
k :	13	14	15	16

Exemplo art.2. Consider the very simple **derivação**

$$1 \frac{\frac{[\varphi \wedge \psi]^1}{\varphi} \wedge \text{Elim}}{(\varphi \wedge \psi) \rightarrow \varphi} \rightarrow \text{Intro}$$

The Gödel number of the assumption would be $d_0 = \langle 0, \# \varphi \wedge \psi^\#, 1 \rangle$. The Gödel number of the **derivação** ending in the conclusion of $\wedge\text{Elim}$ would be $d_1 = \langle 1, d_0, \# \varphi^\#, 0, 2 \rangle$ (1 since $\wedge\text{Elim}$ has one premise, the Gödel number of conclusion φ , 0 because no assumption is discharged, and 2 is the number coding $\wedge\text{Elim}$). The Gödel number of the entire **derivação** then is $\langle 1, d_1, \#((\varphi \wedge \psi) \rightarrow \varphi)^\#, 1, 5 \rangle$, O.s.,

$$\langle 1, \langle 1, \langle 0, \#(\varphi \wedge \psi)^\#, 1 \rangle, \# \varphi^\#, 0, 2 \rangle, \#((\varphi \wedge \psi) \rightarrow \varphi)^\#, 1, 5 \rangle.$$

explanation

Having settled on a representation of **derivações**, we must also show that we can manipulate Gödel numbers of such **derivações** primitive recursively, and express their essential properties and relations. Some operations are simple: p.ex., given a Gödel number d of a **derivação**, $\text{EndFmla}(d) = (d)_{(d)_0+1}$ gives us the Gödel number of its end-fórmula, $\text{DischargeLabel}(d) = (d)_{(d)_0+2}$ gives us the discharge label and $\text{LastRule}(d) = (d)_{(d)_0+3}$ the number indicating the type of the last inference. Some are much harder. We'll at least sketch how to do this. The goal is to show that the relation “ δ is a **derivação** of φ from Γ ” is a primitive recursive relation of the Gödel numbers of δ and φ .

Proposição art.3. *The following relations are primitive recursive:*

1. φ occurs as an assumption in δ with label n .
2. All assumptions in δ with label n are of the form φ (O.s., we can **descarrega** the assumption φ using label n in δ).

Prova. We have to show that the corresponding relations between Gödel numbers of **fórmulas** and Gödel numbers of **derivações** are primitive recursive.

1. We want to show that $\text{Assum}(x, d, n)$, which holds if x is the Gödel number of an assumption of the **derivação** with Gödel number d labelled n , is primitive recursive. This is the case if the **derivação** with Gödel number $\langle 0, x, n \rangle$ is a sub-**derivação** of d . Note that the way we code **derivações** is a special case of the coding of trees introduced in ??, so the primitive recursive function $\text{SubtreeSeq}(d)$ gives a sequence of Gödel numbers of all sub-**derivações** of d (of length at most d). So we can define

$$\text{Assum}(x, d, n) \Leftrightarrow (\exists i < d) (\text{SubtreeSeq}(d))_i = \langle 0, x, n \rangle.$$

2. We want to show that $\text{Discharge}(x, d, n)$, which holds if all assumptions with label n in the **derivação** with Gödel number d all are the **fórmula** with Gödel number x . But this relation holds iff $(\forall y < d) (\text{Assum}(y, d, n) \rightarrow y = x)$. $\square$

Proposição art.4. *The property $\text{Correct}(d)$ which holds iff the last inference in the **derivação** δ with Gödel number d is correct, is primitive recursive.*

inc:art:pnd:
prop:followsby

Prova. Here we have to show that for each rule of inference R the relation $\text{FollowsBy}_R(d)$ is primitive recursive, where $\text{FollowsBy}_R(d)$ holds iff d is the Gödel number of *derivação* δ , and the end-*fórmula* of δ follows by a correct application of R from the immediate sub-*derivações* of δ .

A simple case is that of the $\wedge\text{Intro}$ rule. If δ ends in a correct $\wedge\text{Intro}$ inference, it looks like this:

$$\frac{\begin{array}{c} \vdots \\ \delta_1 \\ \vdots \\ \varphi \end{array} \quad \begin{array}{c} \vdots \\ \delta_2 \\ \vdots \\ \psi \end{array}}{\varphi \wedge \psi} \wedge\text{Intro}$$

Then the Gödel number d of δ is $\langle 2, d_1, d_2, \#(\varphi \wedge \psi)^\#, 0, k \rangle$ where $\text{EndFmla}(d_1) = \# \varphi^\#, \text{EndFmla}(d_2) = \# \psi^\#, n = 0$, and $k = 1$. So we can define $\text{FollowsBy}_{\wedge\text{Intro}}(d)$ as

$$\begin{aligned} (d)_0 &= 2 \wedge \text{DischargeLabel}(d) = 0 \wedge \text{LastRule}(d) = 1 \wedge \\ &\quad \text{EndFmla}(d) = \\ &\quad \#(\# \frown \text{EndFmla}((d)_1) \frown \# \wedge \# \frown \text{EndFmla}((d)_2) \frown \#)^\#. \end{aligned}$$

Another simple example is the $=\text{Intro}$ rule. This has no premises, so $(d)_0 = 0$, like assumptions. It also has no discharge label, O.s., $n = 0$. However, φ must be of the form $t = t$, for a closed term t . Here, a primitive recursive definition is

$$\begin{aligned} (d)_0 &= 0 \wedge \text{DischargeLabel}(d) = 0 \wedge \\ &\quad (\exists t < d) (\text{ClTerm}(t) \wedge \text{EndFmla}(d) = \\ &\quad \quad \#(=\# \frown t \frown \#, \# \frown t \frown \#)^\#). \end{aligned}$$

For a more complicated example, $\text{FollowsBy}_{\rightarrow\text{Intro}}(d)$ holds iff the end-*fórmula* of δ is of the form $(\varphi \rightarrow \psi)$, where the end-*fórmula* of δ_1 is ψ , and any assumption in δ labelled n is of the form φ . We can express this primitive recursively by

$$\begin{aligned} (d)_0 &= 1 \wedge \\ &\quad (\exists a < d) (\text{Discharge}(a, (d)_1, \text{DischargeLabel}(d)) \wedge \\ &\quad \text{EndFmla}(d) = (\#(\# \frown a \frown \# \rightarrow \# \frown \text{EndFmla}((d)_1) \frown \#)^\#)) \end{aligned}$$

(Think of a as the Gödel number of φ).

For another example, consider $\exists\text{Intro}$. Here, the last inference in δ is correct iff there is a *fórmula* φ , a closed term t and a *variável* x such that $\varphi[t/x]$ is the end-*fórmula* of the *derivação* δ_1 and $\exists x \varphi$ is the conclusion of the last inference. So, $\text{FollowsBy}_{\exists\text{Intro}}(d)$ holds iff

$$\begin{aligned} (d)_0 &= 1 \wedge \text{DischargeLabel}(d) = 0 \wedge \\ &\quad (\exists a < d) (\exists x < d) (\exists t < d) (\text{ClTerm}(t) \wedge \text{Var}(x) \wedge \\ &\quad \text{Subst}(a, t, x) = \text{EndFmla}((d)_1) \wedge \text{EndFmla}(d) = (\# \exists^\# \frown x \frown a)^\#). \end{aligned}$$

We then define $\text{Correct}(d)$ as

$$\begin{aligned} \text{Sent}(\text{EndFmla}(d)) \wedge \\ (\text{LastRule}(d) = 1 \wedge \text{FollowsBy}_{\wedge\text{Intro}}(d)) \vee \dots \vee \\ (\text{LastRule}(d) = 16 \wedge \text{FollowsBy}_{=\text{Elim}}(d)) \vee \\ (\exists n < d) (\exists x < d) (d = \langle 0, x, n \rangle). \end{aligned}$$

The first line ensures that the end-fórmula of d is a sentence. The last line covers the case where d is just an assumption. $\square$

Problema art.1. Define the following properties as in **Proposição art.4**:

1. $\text{FollowsBy}_{\rightarrow\text{Elim}}(d)$,
2. $\text{FollowsBy}_{=\text{Elim}}(d)$,
3. $\text{FollowsBy}_{\vee\text{Elim}}(d)$,
4. $\text{FollowsBy}_{\forall\text{Intro}}(d)$.

For the last one, you will have to also show that you can test primitive recursively if the last inference of the derivação with Gödel number d satisfies the eigenvariable condition, O.s., the eigenvariable a of the $\forall\text{Intro}$ inference occurs neither in the end-fórmula of d nor in an open assumption of d . You may use the primitive recursive predicate OpenAssum from **Proposição art.6** for this.

Proposição art.5. *The relation $\text{Deriv}(d)$ which holds if d is the Gödel number of a correct derivação δ , is primitive recursive.*

*inc:art:pnd:
prop:deriv*

Prova. A derivação δ is correct if every one of its inferences is a correct application of a rule, O.s., if every one of its sub-derivações ends in a correct inference. So, $\text{Deriv}(d)$ iff

$$(\forall i < \text{len}(\text{SubtreeSeq}(d))) \text{Correct}((\text{SubtreeSeq}(d))_i) \quad \square$$

Proposição art.6. *The relation $\text{OpenAssum}(z, d)$ that holds if z is the Gödel number of a não descarregada assumption φ of the derivação δ with Gödel number d , is primitive recursive.*

*inc:art:pnd:
prop:openassum*

Prova. An occurrence of an assumption is **descarregada** if it occurs with label n in a sub-derivação of δ that ends in a rule with discharge label n . So φ is a não descarregada assumption of δ if at least one of its occurrences is not descarregada in δ . We must be careful: δ may contain both descarregada and não descarregada occurrences of φ .

Consider a sequence $\delta_0, \dots, \delta_k$ where $\delta_0 = \delta$, δ_k is the assumption $[\varphi]^n$ (for some n), and δ_{i+1} is an immediate sub-derivação of δ_i . If such a sequence exists in which no δ_i ends in an inference with discharge label n , then φ is a não descarregada assumption of δ .

The primitive recursive function $\text{SubtreeSeq}(d)$ provides us with a sequence of Gödel numbers of all sub-*derivações* of δ . Any sequence of Gödel numbers of sub-*derivações* of δ is a subsequence of it. Being a subsequence of is a primitive recursive relation: $\text{Subseq}(s, s')$ holds iff $(\forall i < \text{len}(s)) \exists j < \text{len}(s') (s)_i = (s')_j$. Being an immediate sub-*derivação* is as well: $\text{Subderiv}(d, d')$ iff $(\exists j < (d')_0) d = (d')_j$. So we can define $\text{OpenAssum}(z, d)$ by

$$\begin{aligned} (\exists s < \text{SubtreeSeq}(d)) & (\text{Subseq}(s, \text{SubtreeSeq}(d)) \wedge (s)_0 = d \wedge \\ & (\exists n < d) ((s)_{\text{len}(s)-1} = \langle 0, z, n \rangle \wedge \\ & (\forall i < (\text{len}(s) - 1)) (\text{Subderiv}((s)_{i+1}, (s)_i) \wedge \\ & \text{DischargeLabel}((s)_i) \neq n)). \quad \square \end{aligned}$$

inc:art:pnd:
prop:prf-prim-rec

Proposição art.7. *Suppose Γ is a primitive recursive set of *sentenças*. Then the relation $\text{Prf}_\Gamma(x, y)$ expressing “ x is the code of a *derivação* δ of φ from *não descarregada* assumptions in Γ and y is the Gödel number of φ ” is primitive recursive.*

Prova. Suppose “ $y \in \Gamma$ ” is given by the primitive recursive predicate $R_\Gamma(y)$. We have to show that $\text{Prf}_\Gamma(x, y)$ which holds iff y is the Gödel number of a sentence φ and x is the code of a natural deduction *derivação* with end *fórmula* φ and all *não descarregada* assumptions in Γ is primitive recursive.

By **Proposição art.5**, the property $\text{Deriv}(x)$ which holds iff x is the Gödel number of a correct *derivação* δ in natural deduction is primitive recursive. Thus we can define $\text{Prf}_\Gamma(x, y)$ by

$$\begin{aligned} \text{Prf}_\Gamma(x, y) \Leftrightarrow & \text{Deriv}(x) \wedge \text{EndFmla}(x) = y \wedge \\ & (\forall z < x) (\text{OpenAssum}(z, x) \rightarrow R_\Gamma(z)). \quad \square \end{aligned}$$

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Referências Bibliográficas