tab.1 Soundness for K

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> This soundness proof reuses the soundness proof for classical propositional logic, i.e., it proves everything from scratch. That's ok if you want a self-contained soundness proof. If you already have seen soundness for ordinary tableau this will be repetitive. It's planned to make it possible to switch between self-contained version and a version building on the non-modal case.

In order to show that prefixed tableaux are sound, we have to show that if explanation

$$1\,\mathbb{T}\,\psi_1, \dots, 1\,\mathbb{T}\,\psi_n, 1\,\mathbb{F}\,\varphi$$

has a closed tableau then $\psi_1, \dots, \psi_n \vDash \varphi$. It is easier to prove the contrapositive: if for some $\mathfrak{M}$ and world w, $\mathfrak{M}, w \Vdash \psi_i$ for all $i = 1, \dots, n$ but $\mathfrak{M}, w \Vdash \varphi$, then no tableau can close. Such a countermodel shows that the initial assumptions of the tableau are satisfiable. The strategy of the proof is to show that whenever all the prefixed formulas on a tableau branch are satisfiable, any application of a rule results in at least one extended branch that is also satisfiable. Since closed branches are unsatisfiable, any tableau for a satisfiable set of prefixed formulas must have at least one open branch.

In order to apply this strategy in the modal case, we have to extend our definition of "satisfiable" to modal modals and prefixes. With that in hand, however, the proof is straightforward.

Definition tab.1. Let P be some set of prefixes, i.e., $P \subseteq (\mathbb{Z}^+)^* \setminus \{\Lambda\}$ and let $\mathfrak{M}$ be a model. A function $f\colon P \to W$ is an *interpretation of* P in $\mathfrak{M}$ if, whenever σ and $\sigma.n$ are both in P, then $Rf(\sigma)f(\sigma.n)$.

Relative to an interpretation of prefixes P we can define:

1. $\mathfrak{M}$ satisfies $\sigma\,\mathbb{T}\,\varphi$ iff $\mathfrak{M}, f(\sigma) \Vdash \varphi$.
2. $\mathfrak{M}$ satisfies $\sigma\,\mathbb{F}\,\varphi$ iff $\mathfrak{M}, f(\sigma) \nVdash \varphi$.

Definition tab.2. Let Γ be a set of prefixed formulas, and let $P(\Gamma)$ be the set of prefixes that occur in it. If f is an interpretation of $P(\Gamma)$ in $\mathfrak{M}$, we say that $\mathfrak{M}$ satisfies Γ with respect to f, $\mathfrak{M}, f \Vdash \Gamma$, if $\mathfrak{M}$ satisfies every prefixed formula in Γ with respect to f. Γ is *satisfiable* iff there is a model $\mathfrak{M}$ and interpretation f of $P(\Gamma)$ such that $\mathfrak{M}, f \Vdash \Gamma$.

Proposition tab.3. *If Γ contains both $\sigma\,\mathbb{T}\,\varphi$ and $\sigma\,\mathbb{F}\,\varphi$, for some formula φ and prefix σ, then Γ is unsatisfiable.*

Proof. There cannot be a model $\mathfrak{M}$ and interpretation f of $P(\Gamma)$ such that both $\mathfrak{M}, f(\sigma) \Vdash \varphi$ and $\mathfrak{M}, f(\sigma) \nVdash \varphi$. □

Theorem tab.4 (Soundness). *If Γ has a closed tableau, Γ is unsatisfiable.* *nml:tab:sou: thm:tableau-soundness*

Proof. We call a branch of a tableau satisfiable iff the set of signed formulas on it is satisfiable, and let's call a tableau satisfiable if it contains at least one satisfiable branch.

We show the following: Extending a satisfiable tableau by one of the rules of inference always results in a satisfiable tableau. This will prove the theorem: any closed tableau results by applying rules of inference to the tableau consisting only of assumptions from Γ. So if Γ were satisfiable, any tableau for it would be satisfiable. A closed tableau, however, is clearly not satisfiable, since all its branches are closed and closed branches are unsatisfiable.

Suppose we have a satisfiable tableau, i.e., a tableau with at least one satisfiable branch. Applying a rule of inference either adds signed formulas to a branch, or splits a branch in two. If the tableau has a satisfiable branch which is not extended by the rule application in question, it remains a satisfiable branch in the extended tableau, so the extended tableau is satisfiable. So we only have to consider the case where a rule is applied to a satisfiable branch.

Let Γ be the set of signed formulas on that branch, and let $\sigma\, S\, \varphi \in \Gamma$ be the signed formula to which the rule is applied. If the rule does not result in a split branch, we have to show that the extended branch, i.e., Γ together with the conclusions of the rule, is still satisfiable. If the rule results in split branch, we have to show that at least one of the two resulting branches is satisfiable. First, we consider the possible inferences with only one premise.

1. The branch is expanded by applying $\neg\mathbb{T}$ to $\sigma\,\mathbb{T}\,\neg\psi \in \Gamma$. Then the extended branch contains the signed formulas $\Gamma \cup \{\sigma\,\mathbb{F}\,\psi\}$. Suppose $\mathfrak{M}, f \Vdash \Gamma$. In particular, $\mathfrak{M}, f(\sigma) \Vdash \neg\psi$. Thus, $\mathfrak{M}, f(\sigma) \nVdash \psi$, i.e., $\mathfrak{M}$ satisfies $\sigma\,\mathbb{F}\,\psi$ with respect to f.

2. The branch is expanded by applying $\neg\mathbb{F}$ to $\sigma\,\mathbb{F}\,\neg\psi \in \Gamma$: Exercise.

3. The branch is expanded by applying $\wedge\mathbb{T}$ to $\sigma\,\mathbb{T}\,\psi \wedge \chi \in \Gamma$, which results in two new signed formulas on the branch: $\sigma\,\mathbb{T}\,\psi$ and $\sigma\,\mathbb{T}\,\chi$. Suppose $\mathfrak{M}, f \Vdash \Gamma$, in particular $\mathfrak{M}, f(\sigma) \Vdash \psi \wedge \chi$. Then $\mathfrak{M}, f(\sigma) \Vdash \psi$ and $\mathfrak{M}, f(\sigma) \Vdash \chi$. This means that $\mathfrak{M}$ satisfies both $\sigma\,\mathbb{T}\,\psi$ and $\sigma\,\mathbb{T}\,\chi$ with respect to f.

4. The branch is expanded by applying $\vee\mathbb{F}$ to $\mathbb{F}\,\psi \vee \chi \in \Gamma$: Exercise.

5. The branch is expanded by applying $\to\mathbb{F}$ to $\sigma\,\mathbb{F}\,\psi \to \chi \in \Gamma$: This results in two new signed formulas on the branch: $\sigma\,\mathbb{T}\,\psi$ and $\sigma\,\mathbb{F}\,\chi$. Suppose $\mathfrak{M}, f \Vdash \Gamma$, in particular $\mathfrak{M}, f(\sigma) \nVdash \psi \to \chi$. Then $\mathfrak{M}, f(\sigma) \Vdash \psi$ and $\mathfrak{M}, f(\sigma) \nVdash \chi$. This means that $\mathfrak{M}, f$ satisfies both $\sigma\,\mathbb{T}\,\psi$ and $\sigma\,\mathbb{F}\,\chi$.

6. The branch is expanded by applying $\Box\mathbb{T}$ to $\sigma\,\mathbb{T}\,\Box\psi \in \Gamma$: This results in a new signed formula $\sigma.n\,\mathbb{T}\,\psi$ on the branch, for some $\sigma.n \in P(\Gamma)$ (since $\sigma.n$ must be used). Suppose $\mathfrak{M}, f \Vdash \Gamma$, in particular, $\mathfrak{M}, f(\sigma) \Vdash \Box\psi$. Since f is an interpretation of prefixes and both σ, $\sigma.n \in P(\Gamma)$, we know that $Rf(\sigma)f(\sigma.n)$. Hence, $\mathfrak{M}, f(\sigma.n) \Vdash \psi$, i.e., $\mathfrak{M}, f$ satisfies $\sigma.n\,\mathbb{T}\,\psi$.

7. The branch is expanded by applying $\Box\mathbb{F}$ to $\sigma\,\mathbb{F}\,\Box\psi \in \Gamma$: This results in a new signed formula $\sigma.n\,\mathbb{F}\,\varphi$, where $\sigma.n$ is a new prefix on the branch, i.e., $\sigma.n \notin P(\Gamma)$. Since Γ is satisfiable, there is a $\mathfrak{M}$ and interpretation f of $P(\Gamma)$ such that $\mathfrak{M}, f \vDash \Gamma$, in particular $\mathfrak{M}, f(\sigma) \nVdash \Box\psi$. We have to show that $\Gamma \cup \{\sigma.n\,\mathbb{F}\,\psi\}$ is satisfiable. To do this, we define an interpretation of $P(\Gamma) \cup \{\sigma.n\}$ as follows:

   Since $\mathfrak{M}, f(\sigma) \nVdash \Box\psi$, there is a $w \in W$ such that $Rf(\sigma)w$ and $\mathfrak{M}, w \nVdash \psi$. Let f' be like f, except that $f'(\sigma.n) = w$. Since $f'(\sigma) = f(\sigma)$ and $Rf(\sigma)w$, we have $Rf'(\sigma)f'(\sigma.n)$, so f' is an interpretation of $P(\Gamma)\cup\{\sigma.n\}$. Obviously $\mathfrak{M}, f'(\sigma.n) \nVdash \psi$. Since $f(\sigma') = f'(\sigma')$ for all prefixes $\sigma' \in P(\Gamma)$, $\mathfrak{M}, f' \Vdash \Gamma$. So, $\mathfrak{M}, f'$ satisfies $\Gamma \cup \{\sigma.n\,\mathbb{F}\,\psi\}$.

Now let's consider the possible inferences with two premises.

1. The branch is expanded by applying $\wedge\mathbb{F}$ to $\sigma\,\mathbb{F}\,\psi \wedge \chi \in \Gamma$, which results in two branches, a left one continuing through $\sigma\,\mathbb{F}\,\psi$ and a right one through $\sigma\,\mathbb{F}\,\chi$. Suppose $\mathfrak{M}, f \Vdash \Gamma$, in particular $\mathfrak{M}, f(\sigma) \nVdash \psi \wedge \chi$. Then $\mathfrak{M}, f(\sigma) \nVdash \psi$ or $\mathfrak{M}, f(\sigma) \nVdash \chi$. In the former case, $\mathfrak{M}, f$ satisfies $\sigma\,\mathbb{F}\,\psi$, i.e., the left branch is satisfiable. In the latter, $\mathfrak{M}, f$ satisfies $\sigma\,\mathbb{F}\,\chi$, i.e., the right branch is satisfiable.

2. The branch is expanded by applying $\vee\mathbb{T}$ to $\sigma\,\mathbb{T}\,\psi \vee \chi \in \Gamma$: Exercise.

3. The branch is expanded by applying $\rightarrow\mathbb{T}$ to $\sigma\,\mathbb{T}\,\psi \rightarrow \chi \in \Gamma$: Exercise. □

Problem tab.1. Complete the proof of Theorem tab.4.

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Corollary tab.5. *If $\Gamma \vdash \varphi$ then $\Gamma \vDash \varphi$.*

Proof. If $\Gamma \vdash \varphi$ then for some $\psi_1, \ldots, \psi_n \in \Gamma$, $\Delta = \{1\,\mathbb{F}\,\varphi, 1\,\mathbb{T}\,\psi_1, \ldots, 1\,\mathbb{T}\,\psi_n\}$ has a closed tableau. We want to show that $\Gamma \vDash \varphi$. Suppose not, so for some $\mathfrak{M}$ and w, $\mathfrak{M}, w \Vdash \psi_i$ for $i = 1, \ldots, n$, but $\mathfrak{M}, w \nVdash \varphi$. Let $f(1) = w$; then f is an interpretation of $P(\Delta)$ into $\mathfrak{M}$, and $\mathfrak{M}$ satisfies Δ with respect to f. But by Theorem tab.4, Δ is unsatisfiable since it has a closed tableau, a contradiction. So we must have $\Gamma \vdash \varphi$ after all. □

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Corollary tab.6. *If $\vdash \varphi$ then φ is true in all models.*

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Bibliography