

## fl.1 Examples of Filtrations

nml:fil:exf: sec We have not yet shown that there are any filtrations. But indeed, for any model  $\mathfrak{M}$ , there are many filtrations of  $\mathfrak{M}$  through  $\Gamma$ . We identify two, in particular: the finest and coarsest filtrations. Filtrations of the same models will differ in their accessibility relation (as ?? stipulates directly what  $W^*$  and  $V^*$  should be). The finest filtration will have as few related worlds as possible, whereas the coarsest will have as many as possible.

**Definition fl.1.** Where  $\Gamma$  is closed under subformulas, the *finest* filtration  $\mathfrak{M}^*$  of a model  $\mathfrak{M}$  is defined by putting:

$$R^*[u][v] \text{ if and only if } \exists u' \in [u] \exists v' \in [v] : Ru'v'.$$

nml:fil:exf: prop:finest **Proposition fl.2.** *The finest filtration  $\mathfrak{M}^*$  is indeed a filtration.*

*Proof.* We need to check that  $R^*$ , so defined, satisfies ??????. We check the three conditions in turn.

If  $Ruv$  then since  $u \in [u]$  and  $v \in [v]$ , also  $R^*[u][v]$ , so ?? is satisfied.

For ??, suppose  $\Box\varphi \in \Gamma$ ,  $R^*[u][v]$ , and  $\mathfrak{M}, u \Vdash \Box\varphi$ . By definition of  $R^*$ , there are  $u' \equiv u$  and  $v' \equiv v$  such that  $Ru'v'$ . Since  $u$  and  $u'$  agree on  $\Gamma$ , also  $\mathfrak{M}, u' \Vdash \Box\varphi$ , so that  $\mathfrak{M}, v' \Vdash \varphi$ . By closure of  $\Gamma$  under sub-formulas,  $v$  and  $v'$  agree on  $\varphi$ , so  $\mathfrak{M}, v \Vdash \varphi$ , as desired.

To verify ??, suppose  $\Diamond\varphi \in \Gamma$ ,  $R^*[u][v]$ , and  $\mathfrak{M}, v \Vdash \varphi$ . By definition of  $R^*$ , there are  $u' \equiv u$  and  $v' \equiv v$  such that  $Ru'v'$ . Since  $v$  and  $v'$  agree on  $\Gamma$ , and  $\Gamma$  is closed under sub-formulas, also  $\mathfrak{M}, v' \Vdash \varphi$ , so that  $\mathfrak{M}, u' \Vdash \Diamond\varphi$ . Since  $u$  and  $u'$  also agree on  $\Gamma$ ,  $\mathfrak{M}, u \Vdash \Diamond\varphi$ .  $\square$

**Problem fl.1.** Complete the proof of **Proposition fl.2**.

**Definition fl.3.** Where  $\Gamma$  is closed under subformulas, the *coarsest* filtration  $\mathfrak{M}^*$  of a model  $\mathfrak{M}$  is defined by putting  $R^*[u][v]$  if and only if *both* of the following conditions are met:

1. If  $\Box\varphi \in \Gamma$  and  $\mathfrak{M}, u \Vdash \Box\varphi$  then  $\mathfrak{M}, v \Vdash \varphi$ ;
2. If  $\Diamond\varphi \in \Gamma$  and  $\mathfrak{M}, v \Vdash \varphi$  then  $\mathfrak{M}, u \Vdash \Diamond\varphi$ .

**Proposition fl.4.** *The coarsest filtration  $\mathfrak{M}^*$  is indeed a filtration.*

*Proof.* Given the definition of  $R^*$ , the only condition that is left to verify is the implication from  $Ruv$  to  $R^*[u][v]$ . So assume  $Ruv$ . Suppose  $\Box\varphi \in \Gamma$  and  $\mathfrak{M}, u \Vdash \Box\varphi$ ; then obviously  $\mathfrak{M}, v \Vdash \varphi$ , and (1) is satisfied. Suppose  $\Diamond\varphi \in \Gamma$  and  $\mathfrak{M}, v \Vdash \varphi$ . Then  $\mathfrak{M}, u \Vdash \Diamond\varphi$  since  $Ruv$ , and (2) is satisfied.  $\square$

**Example fl.5.** Let  $W = \mathbb{Z}^+$ ,  $Rnm$  iff  $m = n + 1$ , and  $V(p) = \{2n : n \in \mathbb{N}\}$ . The model  $\mathfrak{M} = \langle W, R, V \rangle$  is depicted in **Figure 1**. The worlds are 1, 2, etc.; each world can access exactly one other world—its successor—and  $p$  is true at all and only the even numbers.

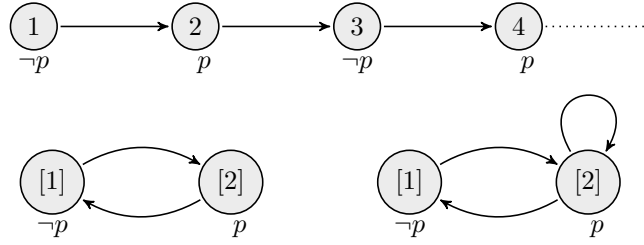


Figure 1: An infinite model and its filtrations.

nml:fil:exf:  
fig:ex-filtration

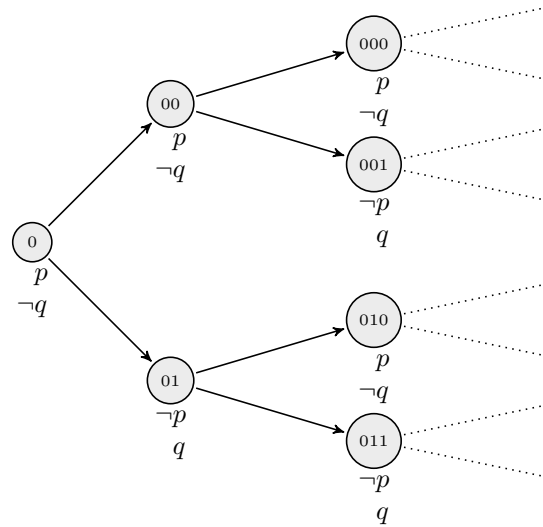
Now let  $\Gamma$  be the set of sub-formulas of  $\Box p \rightarrow p$ , i.e.,  $\{p, \Box p, \Box p \rightarrow p\}$ .  $p$  is true at all and only the even numbers,  $\Box p$  is true at all and only the odd numbers, so  $\Box p \rightarrow p$  is true at all and only the even numbers. In other words, every odd number makes  $\Box p$  true and  $p$  and  $\Box p \rightarrow p$  false; every even number makes  $p$  and  $\Box p \rightarrow p$  true, but  $\Box p$  false. So  $W^* = \{[1], [2]\}$ , where  $[1] = \{1, 3, 5, \dots\}$  and  $[2] = \{2, 4, 6, \dots\}$ . Since  $2 \in V(p)$ ,  $[2] \in V^*(p)$ ; since  $1 \notin V(p)$ ,  $[1] \notin V^*(p)$ . So  $V^*(p) = \{[2]\}$ .

Any filtration based on  $W^*$  must have an accessibility relation that includes  $\langle [1], [2] \rangle, \langle [2], [1] \rangle$ : since  $R12$ , we must have  $R^*[1][2]$  by  $????$ , and since  $R23$  we must have  $R^*[2][3]$ , and  $[3] = [1]$ . It cannot include  $\langle [1], [1] \rangle$ : if it did, we'd have  $R^*[1][1]$ ,  $\mathfrak{M}, 1 \Vdash \Box p$  but  $\mathfrak{M}, 1 \not\models p$ , contradicting  $??$ . Nothing requires or rules out that  $R^*[2][2]$ . So, there are two possible filtrations of  $\mathfrak{M}$ , corresponding to the two accessibility relations

$$\{\langle [1], [2] \rangle, \langle [2], [1] \rangle\} \text{ and } \{\langle [1], [2] \rangle, \langle [2], [1] \rangle, \langle [2], [2] \rangle\}.$$

In either case,  $p$  and  $\Box p \rightarrow p$  are false and  $\Box p$  is true at  $[1]$ ;  $p$  and  $\Box p \rightarrow p$  are true and  $\Box p$  is false at  $[2]$ .

**Problem fil.2.** Consider the following model  $\mathfrak{M} = \langle W, R, V \rangle$  where  $W = \{0\sigma : \sigma \in \mathbb{B}^*\}$ , the set of sequences of 0s and 1s starting with 0, with  $R\sigma\sigma'$  iff  $\sigma' = \sigma 0$  or  $\sigma' = \sigma 1$ , and  $V(p) = \{\sigma 0 : \sigma \in \mathbb{B}^*\}$  and  $V(q) = \{\sigma 1 : \sigma \in \mathbb{B}^* \setminus \{1\}\}$ . Here's a picture:



We have  $\mathfrak{M}, w \not\models \Box(p \vee q) \rightarrow (\Box p \vee \Box q)$  for every  $w$ .

Let  $\Gamma$  be the set of sub-formulas of  $\Box(p \vee q) \rightarrow (\Box p \vee \Box q)$ . What are  $W^*$  and  $V^*$ ? What is the accessibility relation of the finest filtration of  $\mathfrak{M}$ ? Of the coarsest?

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## Bibliography