

## card-arithmetic.1 Defining the Basic Operations

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sec Since we do not need to keep track of order, cardinal arithmetic is rather easier to define than ordinal arithmetic. We will define addition, multiplication, and exponentiation simultaneously.

**Definition card-arithmetic.1.** When  $\alpha$  and  $\beta$  are cardinals:

$$\begin{aligned}\alpha \oplus \beta &= |\alpha \sqcup \beta| \\ \alpha \otimes \beta &= |\alpha \times \beta| \\ \alpha^\beta &= |\beta^\alpha|\end{aligned}$$

where  ${}^X Y = \{f : f \text{ is a function } X \rightarrow Y\}$ . (It is easy to show that  ${}^X Y$  exists for any sets  $X$  and  $Y$ ; we leave this as an exercise.)

**Problem card-arithmetic.1.** Prove in  $\mathbf{Z}^-$  that  ${}^X Y$  exists for any sets  $X$  and  $Y$ . Working in  $\mathbf{ZF}$ , compute  $\text{rank}({}^X Y)$  from  $\text{rank}(X)$  and  $\text{rank}(Y)$ , in the manner of ??.

It might help to explain this definition. Concerning addition: this uses the notion of disjoint sum,  $\sqcup$ , as defined in ??; and it is easy to see that this definition gives the right verdict for finite cases. Concerning multiplication: ?? tells us that if  $A$  has  $n$  members and  $B$  has  $m$  members then  $A \times B$  has  $n \cdot m$  members, so our definition simply generalises the idea to transfinite multiplication. Exponentiation is similar: we are simply generalising the thought from the finite to the transfinite. Indeed, in certain ways, transfinite cardinal arithmetic looks much more like “ordinary” arithmetic than does transfinite ordinal arithmetic:

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cardpluscommute **Proposition card-arithmetic.2.**  $\oplus$  and  $\otimes$  are commutative and associative.

*Proof.* For commutativity, by ?? it suffices to observe that  $(\alpha \sqcup \beta) \approx (\beta \sqcup \alpha)$  and  $(\alpha \times \beta) \approx (\beta \times \alpha)$ . We leave associativity as an exercise.  $\square$

**Problem card-arithmetic.2.** Prove that  $\oplus$  and  $\otimes$  are associative.

**Proposition card-arithmetic.3.**  $A$  is infinite iff  $|A| \oplus 1 = 1 \oplus |A| = |A|$ .

*Proof.* As in ??, from ?? and ??.

This explains why we need to use different symbols for ordinal versus cardinal addition/multiplication: these are genuinely *different* operations. This next pair of results shows that ordinal versus cardinal exponentiation are also different operations. (Recall that ?? entails that  $2 = \{0, 1\}$ ):

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lem:SizePowerset2Exp **Lemma card-arithmetic.4.**  $|\wp(A)| = 2^{|A|}$ , for any  $A$ .

*Proof.* For each subset  $B \subseteq A$ , let  $\chi_B \in {}^A 2$  be given by:

$$\chi_B(x) = \begin{cases} 1 & \text{if } x \in B \\ 0 & \text{otherwise.} \end{cases}$$

Now let  $f(B) = \chi_B$ ; this defines a bijection  $f: \wp(A) \rightarrow {}^A 2$ . So  $\wp(A) \approx {}^A 2$ . Hence  $\wp(A) \approx |A|2$ , so that  $|\wp(A)| = |{}^{|A|} 2| = 2^{|A|}$ .  $\square$

This snappy proof essentially subsumes the discussion of [??](#). There, we showed how to “reduce” the uncountability of  $\wp(\omega)$  to the uncountability of the set of infinite binary strings,  $\mathbb{B}^\omega$ . In effect,  $\mathbb{B}^\omega$  is just  ${}^\omega 2$ ; and the preceding proof showed that the reasoning we went through in [??](#) will go through using any set  $A$  in place of  $\omega$ . The result also yields a quick fact about cardinal exponentiation:

**Corollary card-arithmetic.5.**  $\alpha < 2^\alpha$  for any cardinal  $\alpha$ .

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*Proof.* From Cantor’s Theorem ([??](#)) and [Lemma card-arithmetic.4](#).  $\square$

So  $\omega < 2^\omega$ . But note: this is a result about *cardinal* exponentiation. It should be contrasted with *ordinal* exponentiation, since in the latter case  $\omega = 2^{(\omega)}$  (see [??](#)).

Whilst we are on the topic of cardinal exponentiation, we can also be a bit more precise about the “way” in which  $\mathbb{R}$  is **non-enumerable**.

**Theorem card-arithmetic.6.**  $|\mathbb{R}| = 2^\omega$

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*Proof skeleton.* There are plenty of ways to prove this. The most straightforward is to argue that  $\wp(\omega) \preceq \mathbb{R}$  and  $\mathbb{R} \preceq \wp(\omega)$ , and then use Schröder-Bernstein to infer that  $\mathbb{R} \approx \wp(\omega)$ , and [Lemma card-arithmetic.4](#) to infer that  $|\mathbb{R}| = 2^\omega$ . We leave it as an (illuminating) exercise to define injections  $f: \wp(\omega) \rightarrow \mathbb{R}$  and  $g: \mathbb{R} \rightarrow \wp(\omega)$ .  $\square$

**Problem card-arithmetic.3.** Complete the proof of [Theorem card-arithmetic.6](#), by showing that  $\wp(\omega) \preceq \mathbb{R}$  and  $\mathbb{R} \preceq \wp(\omega)$ .

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## Bibliography