int.1 Soundness and Completeness

We’ll also introduce derivation systems for first-order logic. There are many derivation systems that logicians have developed, but they all define the same derivability relation between sentences. We say that $\Gamma$ derives $\varphi$, $\Gamma \vdash \varphi$, if there is a derivation of a certain precisely defined sort. Derivations are always finite arrangements of symbols—perhaps a list of sentences, or some more complicated structure. The purpose of derivation systems is to provide a tool to determine if a sentence is entailed by some set $\Gamma$. In order to serve that purpose, it must be true that $\Gamma \models \varphi$ if, and only if, $\Gamma \vdash \varphi$.

If $\Gamma \vdash \varphi$ but not $\Gamma \models \varphi$, our derivation system would be too strong, prove too much. The property that if $\Gamma \vdash \varphi$ then $\Gamma \models \varphi$ is called soundness, and it is a minimal requirement on any good derivation system. On the other hand, if $\Gamma \models \varphi$ but not $\Gamma \vdash \varphi$, then our derivation system is too weak, it doesn’t prove enough. The property that if $\Gamma \models \varphi$ then $\Gamma \vdash \varphi$ is called completeness. Soundness is usually relatively easy to prove (by induction on the structure of derivations, which are inductively defined). Completeness is harder to prove.

Soundness and completeness have a number of important consequences. If a set of sentences $\Gamma$ derives a contradiction (such as $\varphi \land \neg \varphi$) it is called inconsistent. Inconsistent $\Gamma$’s cannot have any models, they are unsatisfiable. From completeness the converse follows: any $\Gamma$ that is not inconsistent—or, as we will say, consistent—has a model. In fact, this is equivalent to completeness, and is the form of completeness we will actually prove. It is a deep and perhaps surprising result: just because you cannot prove $\varphi \land \neg \varphi$ from $\Gamma$ guarantees that there is a structure that is as $\Gamma$ describes it. So completeness gives an answer to the question: which sets of sentences have models? Answer: all and only consistent sets do.

The soundness and completeness theorems have two important consequences: the compactness and the Löwenheim-Skolem theorem. These are important results in the theory of models, and can be used to establish many interesting results. We’ve already mentioned two: first-order logic cannot express that the domain of a structure is finite or that it is non-enumerable.

Historically, all of this—how to define syntax and semantics of first-order logic, how to define good derivation systems, how to prove that they are sound and complete, getting clear about what can and cannot be expressed in first-order languages—took a long time to figure out and get right. We now know how to do it, but going through all the details can still be confusing and tedious. But it’s also important, because the methods developed here for the formal language of first-order logic are applied all over the place in logic, computer science, and linguistics. So working through the details pays off in the long run.