



Figure 1: Diagram of a sphere model

con:min:sph:  
fig:sphere-model

## min.1 Sphere Models

con:min:sph:  
sec

One way of providing a formal semantics for counterfactuals is to turn Lewis’s informal account into a mathematical structure. The spheres around a world  $w$  then are sets of worlds. Since the spheres are nested, the sets of worlds around  $w$  have to be linearly ordered by the subset relation.

**Definition min.1.** A *sphere model* is a triple  $\mathfrak{M} = \langle W, O, V \rangle$  where  $W$  is a non-empty set of worlds,  $V: \text{At}_0 \rightarrow \wp(W)$  is a valuation, and  $O: W \rightarrow \wp(\wp(W))$  assigns to each world  $w$  a *system of spheres*  $O_w$ . For each  $w$ ,  $O_w$  is a set of sets of worlds, and must satisfy:

1.  $O_w$  is *centered* on  $w$ :  $\{w\} \in O_w$ .
2.  $O_w$  is *nested*: whenever  $S_1, S_2 \in O_w$ ,  $S_1 \subseteq S_2$  or  $S_2 \subseteq S_1$ , i.e.,  $O_w$  is linearly ordered by  $\subseteq$ .
3.  $O_w$  is closed under non-empty unions.
4.  $O_w$  is closed under non-empty intersections.

The intuition behind  $O_w$  is that the worlds “around”  $w$  are stratified according to how far away they are from  $w$ . The innermost sphere is just  $w$  by itself, i.e., the set  $\{w\}$ :  $w$  is closer to  $w$  than the worlds in any other sphere. If  $S \subsetneq S'$ , then the worlds in  $S' \setminus S$  are further way from  $w$  than the worlds in  $S$ :  $S' \setminus S$  is the “layer” between the  $S$  and the worlds outside of  $S'$ . In particular, we have to think of the spheres as containing all the worlds within their outer surface; they are not just the individual layers.

The diagram in **Figure 1** corresponds to the sphere model with  $W = \{w, w_1, \dots, w_7\}$ ,  $V(p) = \{w_5, w_6, w_7\}$ . The innermost sphere  $S_1 = \{w\}$ . The closest worlds to  $w$  are  $w_1, w_2, w_3$ , so the next larger sphere is  $S_2 = \{w, w_1, w_2, w_3\}$ .

The worlds further out are  $w_4, w_5, w_6$ , so the outermost sphere is  $S_3 = \{w, w_1, \dots, w_6\}$ . The system of spheres around  $w$  is  $O_w = \{S_1, S_2, S_3\}$ . The world  $w_7$  is not in any sphere around  $w$ . The closest worlds in which  $p$  is true are  $w_5$  and  $w_6$ , and so the smallest  $p$ -admitting sphere is  $S_3$ .

To define satisfaction of a formula  $\varphi$  at world  $w$  in a sphere model  $\mathfrak{M}$ ,  $\mathfrak{M}, w \Vdash \varphi$ , we expand the definition for modal formulas to include a clause for  $\psi \Box \rightarrow \chi$ :

**Definition min.2.**  $\mathfrak{M}, w \Vdash \psi \Box \rightarrow \chi$  iff either

1. For all  $u \in \bigcup O_w$ ,  $\mathfrak{M}, u \not\Vdash \psi$ , or
2. For some  $S \in O_w$ ,
  - a)  $\mathfrak{M}, u \Vdash \psi$  for some  $u \in S$ , and
  - b) for all  $v \in S$ , either  $\mathfrak{M}, v \not\Vdash \psi$  or  $\mathfrak{M}, v \Vdash \chi$ .

con:min:sph:  
sphere-vac  
con:min:sph:  
sphere-nonvac

According to this definition,  $\mathfrak{M}, w \Vdash \psi \Box \rightarrow \chi$  iff either the antecedent  $\psi$  is false everywhere in the spheres around  $w$ , or there is a sphere  $S$  where  $\psi$  is true, and the material conditional  $\psi \rightarrow \chi$  is true at all worlds in that “ $\psi$ -admitting” sphere. Note that we didn’t require in the definition that  $S$  is the *innermost*  $\psi$ -admitting sphere, contrary to what one might expect from the intuitive explanation. But if the condition in (2) is satisfied for some sphere  $S$ , then it is also satisfied for all spheres  $S$  contains, and hence in particular for the innermost sphere.

Note also that the definition of sphere models does not require that there is an innermost  $\psi$ -admitting sphere: we may have an infinite sequence  $S_1 \supseteq S_2 \supseteq \dots \supseteq \{w\}$  of  $\psi$ -admitting spheres, and hence no innermost  $\psi$ -admitting spheres. In that case,  $\mathfrak{M}, w \Vdash \psi \Box \rightarrow \chi$  iff  $\psi \rightarrow \chi$  holds throughout the spheres  $S_i, S_{i+1}, \dots$ , for some  $i$ .

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## Bibliography