

## rec.1 The Halting Problem

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The *halting problem* in general is the problem of deciding, given the specification  $e$  (e.g., program) of a computable function and a number  $n$ , whether the computation of the function on input  $n$  halts, i.e., produces a result. Famously, Alan Turing proved that this problem itself cannot be solved by a computable function, i.e., the function

$$h(e, n) = \begin{cases} 1 & \text{if computation } e \text{ halts on input } n \\ 0 & \text{otherwise,} \end{cases}$$

is not computable.

In the context of partial recursive functions, the role of the specification of a program may be played by the index  $e$  given in Kleene's normal form theorem. If  $f$  is a partial recursive function, any  $e$  for which the equation in the normal form theorem holds, is an index of  $f$ . Given a number  $e$ , the normal form theorem states that

$$\varphi_e(x) \simeq U(\mu s T(e, x, s))$$

is partial recursive, and for every partial recursive  $f: \mathbb{N} \rightarrow \mathbb{N}$ , there is an  $e \in \mathbb{N}$  such that  $\varphi_e(x) \simeq f(x)$  for all  $x \in \mathbb{N}$ . In fact, for each such  $f$  there is not just one, but infinitely many such  $e$ . The *halting function*  $h$  is defined by

$$h(e, x) = \begin{cases} 1 & \text{if } \varphi_e(x) \downarrow \\ 0 & \text{otherwise.} \end{cases}$$

Note that  $h(e, x) = 0$  if  $\varphi_e(x) \uparrow$ , but also when  $e$  is not the index of a partial recursive function at all.

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thm:halting-problem

**Theorem rec.1.** *The halting function  $h$  is not partial recursive.*

*Proof.* If  $h$  were partial recursive, we could define

$$d(y) = \begin{cases} 1 & \text{if } h(y, y) = 0 \\ \mu x x \neq x & \text{otherwise.} \end{cases}$$

Since no number  $x$  satisfies  $x \neq x$ , there is no  $\mu x x \neq x$ , and so  $d(y) \uparrow$  iff  $h(y, y) \neq 0$ . From this definition it follows that

1.  $d(y) \downarrow$  iff  $\varphi_y(y) \uparrow$  or  $y$  is not the index of a partial recursive function.
2.  $d(y) \uparrow$  iff  $\varphi_y(y) \downarrow$ .

If  $h$  were partial recursive, then  $d$  would be partial recursive as well. Thus, by the Kleene normal form theorem, it has an index  $e_d$ . Consider the value of  $h(e_d, e_d)$ . There are two possible cases, 0 and 1.

1. If  $h(e_d, e_d) = 1$  then  $\varphi_{e_d}(e_d) \downarrow$ . But  $\varphi_{e_d} \simeq d$ , and  $d(e_d)$  is defined iff  $h(e_d, e_d) = 0$ . So  $h(e_d, e_d) \neq 1$ .

2. If  $h(e_d, e_d) = 0$  then either  $e_d$  is not the index of a partial recursive function, or it is and  $\varphi_{e_d}(e_d) \uparrow$ . But again,  $\varphi_{e_d} \simeq d$ , and  $d(e_d)$  is undefined iff  $\varphi_{e_d}(e_d) \downarrow$ .

The upshot is that  $e_d$  cannot, after all, be the index of a partial recursive function. But if  $h$  were partial recursive,  $d$  would be too, and so our definition of  $e_d$  as an index of it would be admissible. We must conclude that  $h$  cannot be partial recursive.  $\square$

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## Bibliography